## 3763

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Schneider et al.

Confirmation No. 2399

Serial No.

09/576,422

Filed

May 22, 2000

For

AUTOMATIC LIQUID INJECTION SYSTEM AND METHOD

Examiner

Lucchesi, N.

Group Art Unit

3763

CERTIFICATE OF MAILING

1 hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date:

September 13, 2005

Signature

Carrie I Caggiano

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

### **RESPONSE TO NOTICE UNDER 37 CFR 1.251-PENDING APPLICATION**

In response to the Notice under 37 CFR 1.251 mailed in the above-referenced application on August 18, 2005, submitted herewith is a complete copy of Applicant's record of all correspondence between the Office and Applicant. A statement under 37 CFR 1.251 (PTO-2053-B) is also enclosed.

No fee(s) are believed to be due in connection with the filing of this Response. However, the Director is hereby authorized to charge such fee(s) or credit any overpayment in connection with this Response to Deposit Account No. 50-0540.

Respectfully submitted,

Dated: September 13, 2005

Donald L. Rhoads, Reg. No. 34,705 Albert B. Chen, Reg. No. 41,667

KRAMER LEVIN NAFTALIS & FRANKEL LLP

1177 Avenue of the Americas New York, New York 10036 (212) 715-9100 (telephone)

(212) 715-8000 (facsimile)

Att: Gardyn Brown TE 3700 RND 8611

Carolyn Brown Supervisory Legal Instrument Examiner

PTO-2053-B (Rev. 10/03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Group 3700 U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of: Application X Filing Date: Title:

Direct to:

Mail Stop RECONSTRUCTION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### NOTICE UNDER 37 CFR 1.251 - Pending Application

### Statement (check the appropriate box):

The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

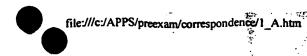
The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

### A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.





### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT Claims	IND CLAIMS	
09/576.422	05/22/2000	3737	798	1889-33	4	26	3	

Nixon & Vanderhye P C 1100 North Glebe Road 8th Floor Arlington, VA 22201-4714

Date Mailed: 08/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michel Schneider, Troinex, SWITZERLAND; Laurent Jacob, Soral, SWITZERLAND; Christophe Golay, Rue du Faubourg, SWITZERLAND; Jean Brochot, Feigeres, FRANCE; Feng Yan, Carouge, SWITZERLAND;

**Continuing Data as Claimed by Applicant** 

**Foreign Applications** 

If Required, Foreign Filing License Granted 08/01/2000

Title .

Automatic liquid injection system and method

**Preliminary Class** 

600

Data entry by : WHITE, JACKIE

Team: OIPE

Date: 08/01/2000





# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15



#### <u>GRANTED</u>

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

UNITED STATES PATENT AND ARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov FILING DATE APPLICATION NO. MED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. Michel Schneider 05/22/2000 09/576,422 1889-33 2399 7590 03/24/2003 Nixon & Vanderhye P C **EXAMINER** 1100 North Glebe Road 8th Floor THOMPSON, KATHRYN L Arlington, VA 22201-4714 ART UNIT PAPER NUMBER 3763

Please find below and/or attached an Office communication concerning this application or proceeding.

DOCKETED

DATE MAILED: 03/24/2003

CLT/MATTER # 1889 -33

MAIL DATE 3-24-03

DUE DATE April 24, 2003

FINAL DEADLINE Sept 24, 2003

DOCKETED BY PAGE WES

Applic	cation No. Applicant(s)							
Office Action Summers SEP 1 6 2005 1 09/57	SCHNEIDER ET AL.							
Office Action Summary	ner Art Unit							
The MAILING DATE of this communication appears on	n L Thompson 3763							
The MAILING DATE of this communication appears on Period for Reply	the cover sheet with the correspondence address							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status								
1) Responsive to communication(s) filed on 22 May 200	<u>00</u> .							
2a) This action is FINAL. 2b) ☐ This action	n is non-final.							
3) Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims								
<ul> <li>4) ☐ Claim(s) 1-9,22-34 and 39-42 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> </ul>								
5) Claim(s) is/are allowed.	oonsideration.							
6) Claim(s) is/are rejected.								
7) Claim(s) is/are objected to.								
8)⊠ Claim(s) <u>1-9,22-34 and 39-42</u> are subject to restriction	and/or election requirement.							
Application Papers	, unus of extension of the second							
9)☐ The specification is objected to by the Examiner.								
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.								
If approved, corrected drawings are required in reply to this Office action.								
12) The oath or declaration is objected to by the Examiner.								
Priority under 35 U.S.C. §§ 119 and 120								
13)☐ Acknowledgment is made of a claim for foreign priority	y under 35 U.S.C. § 119(a)-(d) or (f).							
a) ☐ All b) ☐ Some * c) ☐ None of:								
1. Certified copies of the priority documents have	been received.							
2. Certified copies of the priority documents have	been received in Application No							
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).								
a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.								
Attachment(s)								
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	4) Interview Summary (PTO-413) Paper No(s) 5) Notice of Informal Patent Application (PTO-152) 6) Other:							
U.S. Patent and Trademark Office								

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### Election/Restrictions

This application contains claims directed to the following patentably distinct species of the claimed invention: (A) Figure 1; (B) Figure 4a.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, there are no generic claims.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over

I HAVE NO RECOLD OF

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the prior art, the evidence or admission may be used in a rejection under 35

U.S.C. 103(a) of the other invention.

A telephone call was made to Mr. Arthur R. Crawford on September 10, 2002, to 3 | 28 | 05 request an oral election to the above restriction requirement, but did not result in an election being made.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kathryn L Thompson whose telephone number is 703-305-3286. The examiner can normally be reached on 8:30 AM - 6:00 PM: 1st Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Casler can be reached on 703-308-3552. The fax phone numbers for

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the organization where this application or proceeding is assigned are 703-872-9302 for regular communications and 703-872-9303 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

**KLT** 

March 17, 2003

MICHAEL J. HAYES PRIMARY EXAMINER